



This is an auto generated performance report of the Stock Horizon Day Trade Alerts.

This is not a win/loss report, it is a report on how well our system can pick stocks that move 3%+.

October 2017 3% Goal Performance Summary:

Moved 3%+: 84% Average Move: 11.6%

| Date     | Symbol | Watch Time | Alert Time | Alert Price | Trigger Time | Trigger Type | Trigger Price | High/Low After | Move After \$ | Move After % |
|----------|--------|------------|------------|-------------|--------------|--------------|---------------|----------------|---------------|--------------|
| 10/02/17 | RIGL   | 08:25:51   | 09:32:00   | \$2.96      | 09:36:06     | SHORT        | \$2.91        | \$2.85         | \$0.06        | 2%           |
| 10/02/17 | RIGL   | 08:25:51   | 09:32:00   | \$2.96      | 09:50:31     | LONG         | \$3.08        | \$3.42         | \$0.34        | 11%          |
| 10/02/17 | SRAX   | 09:16:43   | 09:32:00   | \$3.14      | 09:32:04     | SHORT        | \$3.00        | \$2.79         | \$0.21        | 7%           |
| 10/02/17 | SRAX   | 09:16:43   | 09:32:00   | \$3.14      | 09:40:11     | LONG         | \$3.29        | \$3.45         | \$0.16        | 5%           |
| 10/02/17 | CAPR   | 09:30:22   | 09:32:00   | \$3.30      | 09:32:13     | LONG         | \$3.37        | \$4.25         | \$0.88        | 26%          |
| 10/02/17 | ITUS   | 07:06:26   | 09:32:00   | \$2.90      | 09:33:18     | SHORT        | \$2.84        | \$2.75         | \$0.09        | 3%           |
| 10/02/17 | ITUS   | 07:06:26   | 09:32:00   | \$2.90      | 09:38:57     | LONG         | \$2.96        | \$3.19         | \$0.23        | 8%           |
| 10/02/17 | SSTI   | 09:32:11   | 09:32:11   | \$15.14     | 09:32:31     | SHORT        | \$14.79       | \$14.00        | \$0.79        | 5%           |
| 10/02/17 | ABUS   | 07:47:45   | 09:32:17   | \$6.75      | 09:33:00     | LONG         | \$6.81        | \$7.35         | \$0.54        | 8%           |
| 10/02/17 | ABUS   | 07:47:45   | 09:32:17   | \$6.75      | 09:42:47     | SHORT        | \$6.49        | \$5.65         | \$0.84        | 13%          |
| 10/02/17 | BHVN   | 09:32:17   | 09:32:17   | \$27.90     | 09:32:47     | LONG         | \$28.92       | \$36.15        | \$7.23        | 25%          |
| 10/02/17 | VBLT   | 08:00:11   | 09:33:00   | \$6.65      | 09:39:42     | SHORT        | \$6.54        | \$5.85         | \$0.69        | 11%          |
| 10/02/17 | HMNY   | 07:23:26   | 09:33:00   | \$13.46     | 09:36:51     | LONG         | \$14.01       | \$14.17        | \$0.16        | 1%           |
| 10/02/17 | HMNY   | 07:23:26   | 09:33:00   | \$13.46     | 10:14:58     | SHORT        | \$13.05       | \$12.56        | \$0.49        | 4%           |
| 10/02/17 | SNCR   | 06:17:30   | 09:33:00   | \$10.95     | 09:33:15     | SHORT        | \$10.79       | \$10.36        | \$0.43        | 4%           |
| 10/02/17 | SNCR   | 06:17:30   | 09:33:00   | \$10.95     | 09:41:05     | LONG         | \$11.25       | \$11.53        | \$0.28        | 3%           |
| 10/02/17 | VERI   | 08:00:06   | 09:33:00   | \$50.79     | 09:34:09     | LONG         | \$52.01       | \$52.38        | \$0.37        | 1%           |
| 10/02/17 | VERI   | 08:00:06   | 09:33:00   | \$50.79     | 09:57:38     | SHORT        | \$48.54       | \$47.17        | \$1.37        | 3%           |
| 10/02/17 | ECYT   | 09:28:02   | 09:35:03   | \$1.75      | 09:36:56     | LONG         | \$1.84        | \$3.75         | \$1.91        | 104%         |
| 10/03/17 | TTS    | 07:21:23   | 09:32:00   | \$9.05      | 09:32:07     | LONG         | \$9.11        | \$9.30         | \$0.19        | 2%           |
| 10/03/17 | TTS    | 07:21:23   | 09:32:00   | \$9.05      | 09:34:21     | SHORT        | \$8.84        | \$8.25         | \$0.59        | 7%           |
| 10/03/17 | ETRM   | 08:34:11   | 09:32:00   | \$2.14      | 09:34:19     | LONG         | \$2.25        | \$2.27         | \$0.02        | 1%           |
| 10/03/17 | ETRM   | 08:34:11   | 09:32:00   | \$2.14      | 09:38:03     | SHORT        | \$2.06        | \$1.93         | \$0.13        | 6%           |
| 10/03/17 | ECYT   | 05:08:14   | 09:32:00   | \$5.98      | 09:32:09     | SHORT        | \$5.84        | \$5.25         | \$0.59        | 10%          |
| 10/03/17 | ECYT   | 05:08:14   | 09:32:00   | \$5.98      | 09:39:31     | LONG         | \$6.28        | \$6.55         | \$0.27        | 4%           |
| 10/03/17 | ITUS   | 08:00:59   | 09:32:01   | \$3.02      | 09:43:09     | SHORT        | \$2.99        | \$2.61         | \$0.38        | 13%          |
| 10/03/17 | ATEC   | 09:32:04   | 09:32:04   | \$3.00      | 09:37:13     | LONG         | \$3.08        | \$3.25         | \$0.17        | 6%           |
| 10/03/17 | ATEC   | 09:32:04   | 09:32:04   | \$3.00      | 14:44:01     | SHORT        | \$2.89        | \$2.85         | \$0.04        | 1%           |
| 10/03/17 | DMPI   | 08:22:45   | 09:33:00   | \$0.94      | 09:46:04     | SHORT        | \$0.92        | \$0.87         | \$0.05        | 5%           |
| 10/03/17 | VICL   | 06:44:03   | 09:33:00   | \$3.50      | 09:33:25     | SHORT        | \$3.40        | \$2.70         | \$0.70        | 21%          |
| 10/03/17 | GEVO   | 09:32:06   | 09:33:00   | \$0.65      | 13:53:52     | SHORT        | \$0.63        | \$0.63         | \$0.00        | 0%           |
| 10/03/17 | CLSN   | 09:30:14   | 09:34:00   | \$2.44      | 09:34:10     | LONG         | \$2.55        | \$6.06         | \$3.51        | 138%         |
| 10/04/17 | CLSN   | 06:03:38   | 09:32:00   | \$4.00      | 09:32:40     | LONG         | \$4.16        | \$5.28         | \$1.12        | 27%          |
| 10/04/17 | CLSN   | 06:03:38   | 09:32:00   | \$4.00      | 09:38:21     | SHORT        | \$3.85        | \$3.70         | \$0.15        | 4%           |
| 10/04/17 | HMNY   | 09:32:01   | 09:32:01   | \$12.57     | 09:35:09     | LONG         | \$12.86       | \$13.24        | \$0.38        | 3%           |
| 10/04/17 | GLBS   | 09:32:04   | 09:33:00   | \$1.19      | 09:38:45     | SHORT        | \$1.14        | \$0.98         | \$0.16        | 14%          |
| 10/04/17 | MTBC   | 06:29:34   | 09:33:00   | \$4.08      | 09:33:59     | LONG         | \$4.36        | \$5.44         | \$1.08        | 25%          |
| 10/04/17 | MTBC   | 06:29:34   | 09:33:00   | \$4.08      | 14:09:59     | SHORT        | \$3.69        | \$3.10         | \$0.59        | 16%          |
| 10/04/17 | CATB   | 05:09:18   | 09:33:00   | \$3.60      | 09:33:10     | LONG         | \$3.68        | \$3.78         | \$0.10        | 3%           |
| 10/04/17 | CATB   | 05:09:18   | 09:33:00   | \$3.60      | 09:36:59     | SHORT        | \$3.39        | \$2.99         | \$0.40        | 12%          |
| 10/04/17 | RGSE   | 09:30:00   | 09:33:00   | \$2.13      | 09:37:09     | SHORT        | \$2.01        | \$1.78         | \$0.23        | 11%          |
| 10/04/17 | RGSE   | 09:30:00   | 09:33:00   | \$2.13      | 09:50:41     | LONG         | \$2.36        | \$3.25         | \$0.89        | 38%          |
| 10/04/17 | KOOL   | 09:33:01   | 09:33:01   | \$4.35      | 09:34:09     | SHORT        | \$4.34        | \$4.15         | \$0.19        | 4%           |
| 10/04/17 | KOOL   | 09:33:01   | 09:33:01   | \$4.35      | 09:42:36     | LONG         | \$4.64        | \$4.75         | \$0.11        | 2%           |
| 10/04/17 | GNMX   | 09:33:03   | 09:33:03   | \$1.44      | 11:12:41     | SHORT        | \$1.39        | \$1.36         | \$0.03        | 2%           |
| 10/04/17 | BIOP   | 09:33:05   | 09:33:05   | \$9.38      | 09:36:12     | SHORT        | \$8.95        | \$8.00         | \$0.95        | 11%          |
| 10/04/17 | CTMX   | 09:32:20   | 09:33:07   | \$23.61     | 09:34:14     | SHORT        | \$23.49       | \$21.27        | \$2.22        | 10%          |
| 10/04/17 | VKTX   | 07:30:11   | 09:33:34   | \$1.84      | 09:44:28     | SHORT        | \$1.82        | \$1.79         | \$0.03        | 2%           |
| 10/04/17 | EDAP   | 09:33:40   | 09:33:40   | \$3.20      | 09:34:57     | SHORT        | \$3.04        | \$2.92         | \$0.12        | 4%           |
| 10/04/17 | MNTA   | 07:56:07   | 09:34:00   | \$14.00     | 09:35:00     | LONG         | \$14.26       | \$15.40        | \$1.14        | 8%           |
| 10/04/17 | SQNS   | 09:33:04   | 09:34:00   | \$2.15      | 09:34:06     | SHORT        | \$2.10        | \$1.84         | \$0.26        | 12%          |

| <u>Date</u> | <u>Symbol</u> | <u>Watch Time</u> | <u>Alert Time</u> | <u>Alert Price</u> | <u>Trigger Time</u> | <u>Trigger Type</u> | <u>Trigger Price</u> | <u>High/Low After</u> | <u>Move After \$</u> | <u>Move After %</u> |
|-------------|---------------|-------------------|-------------------|--------------------|---------------------|---------------------|----------------------|-----------------------|----------------------|---------------------|
| 10/04/17    | ECYT          | 06:59:26          | 09:34:00          | \$5.14             | 09:35:28            | LONG                | \$5.25               | \$6.25                | \$1.00               | 19%                 |
| 10/04/17    | CAPR          | 07:10:11          | 09:35:00          | \$3.89             | 09:37:56            | SHORT               | \$3.79               | \$3.10                | \$0.69               | 18%                 |
| 10/05/17    | APVO          | 08:32:13          | 09:33:00          | \$2.95             | 09:35:29            | LONG                | \$3.15               | \$3.85                | \$0.70               | 22%                 |
| 10/05/17    | APVO          | 08:32:13          | 09:33:00          | \$2.95             | 13:33:39            | SHORT               | \$2.79               | \$2.70                | \$0.09               | 3%                  |
| 10/05/17    | CTRV          | 09:33:00          | 09:33:00          | \$0.68             | 09:56:29            | SHORT               | \$0.64               | \$0.61                | \$0.03               | 5%                  |
| 10/05/17    | CDNA          | 07:32:52          | 09:33:00          | \$6.27             | 09:37:28            | SHORT               | \$5.79               | \$5.34                | \$0.45               | 8%                  |
| 10/05/17    | CDNA          | 07:32:52          | 09:33:00          | \$6.27             | 11:38:16            | LONG                | \$6.41               | \$6.69                | \$0.28               | 4%                  |
| 10/05/17    | CATB          | 07:41:36          | 09:33:00          | \$3.14             | 09:37:03            | SHORT               | \$3.05               | \$2.61                | \$0.44               | 14%                 |
| 10/05/17    | CATB          | 07:41:36          | 09:33:00          | \$3.14             | 10:07:42            | LONG                | \$3.29               | \$3.32                | \$0.03               | 1%                  |
| 10/05/17    | STRM          | 07:43:56          | 09:33:03          | \$2.05             | 09:52:37            | SHORT               | \$1.89               | \$1.67                | \$0.22               | 12%                 |
| 10/05/17    | DCIX          | 09:33:08          | 09:33:08          | \$0.65             | 09:44:47            | SHORT               | \$0.60               | \$0.53                | \$0.07               | 11%                 |
| 10/05/17    | RGSE          | 07:04:54          | 09:34:00          | \$2.79             | 09:58:21            | SHORT               | \$2.51               | \$1.79                | \$0.72               | 29%                 |
| 10/05/17    | CLSN          | 07:09:14          | 09:34:00          | \$4.15             | 09:41:22            | SHORT               | \$4.04               | \$3.34                | \$0.70               | 17%                 |
| 10/05/17    | MTBC          | 08:00:04          | 09:34:00          | \$3.05             | 09:36:06            | SHORT               | \$2.79               | \$2.40                | \$0.39               | 14%                 |
| 10/06/17    | CAPR          | 09:04:39          | 09:32:00          | \$3.38             | 09:32:08            | LONG                | \$3.56               | \$3.65                | \$0.09               | 3%                  |
| 10/06/17    | CAPR          | 09:04:39          | 09:32:00          | \$3.38             | 09:35:52            | SHORT               | \$3.26               | \$2.75                | \$0.51               | 16%                 |
| 10/06/17    | RIGL          | 09:30:00          | 09:32:01          | \$3.65             | 09:38:40            | LONG                | \$3.77               | \$3.95                | \$0.18               | 5%                  |
| 10/06/17    | TROV          | 08:20:37          | 09:34:00          | \$1.00             | 09:35:13            | LONG                | \$1.06               | \$1.08                | \$0.02               | 2%                  |
| 10/06/17    | TROV          | 08:20:37          | 09:34:00          | \$1.00             | 09:45:19            | SHORT               | \$0.91               | \$0.79                | \$0.12               | 14%                 |
| 10/06/17    | ELGX          | 09:34:01          | 09:34:01          | \$6.10             | 09:34:50            | SHORT               | \$5.98               | \$5.63                | \$0.35               | 6%                  |
| 10/06/17    | CLSN          | 07:40:02          | 09:34:01          | \$3.42             | 09:39:09            | LONG                | \$3.56               | \$3.65                | \$0.09               | 3%                  |
| 10/06/17    | ITEK          | 09:34:03          | 09:34:03          | \$2.73             | 09:36:05            | SHORT               | \$2.65               | \$2.53                | \$0.12               | 5%                  |
| 10/06/17    | ITEK          | 09:34:03          | 09:34:03          | \$2.73             | 09:41:43            | LONG                | \$2.80               | \$3.19                | \$0.39               | 14%                 |
| 10/06/17    | DCIX          | 09:34:04          | 09:34:04          | \$0.58             | 09:41:56            | LONG                | \$0.61               | \$0.65                | \$0.04               | 7%                  |
| 10/06/17    | DCIX          | 09:34:04          | 09:34:04          | \$0.58             | 14:22:18            | SHORT               | \$0.56               | \$0.55                | \$0.01               | 2%                  |
| 10/06/17    | ECYT          | 09:32:00          | 09:34:05          | \$5.55             | 09:34:47            | LONG                | \$5.67               | \$5.85                | \$0.18               | 3%                  |
| 10/06/17    | MNKD          | 05:15:12          | 09:35:00          | \$5.57             | 09:50:35            | SHORT               | \$5.12               | \$4.38                | \$0.74               | 15%                 |
| 10/06/17    | GIGA          | 07:15:00          | 09:36:00          | \$0.92             | 10:12:51            | SHORT               | \$0.83               | \$0.78                | \$0.05               | 6%                  |
| 10/09/17    | TROV          | 08:01:00          | 09:32:00          | \$1.00             | 09:34:26            | SHORT               | \$0.98               | \$0.83                | \$0.15               | 15%                 |
| 10/09/17    | MTBC          | 09:32:01          | 09:32:01          | \$2.97             | 09:32:32            | SHORT               | \$2.95               | \$2.84                | \$0.11               | 4%                  |
| 10/09/17    | MTBC          | 09:32:01          | 09:32:01          | \$2.97             | 09:35:57            | LONG                | \$3.11               | \$3.14                | \$0.03               | 1%                  |
| 10/09/17    | RPRX          | 09:30:35          | 09:33:00          | \$0.60             | 09:38:16            | LONG                | \$0.64               | \$0.90                | \$0.26               | 41%                 |
| 10/09/17    | GEVO          | 09:00:45          | 09:33:00          | \$0.82             | 09:36:00            | SHORT               | \$0.76               | \$0.65                | \$0.11               | 14%                 |
| 10/09/17    | LINU          | 07:41:38          | 09:33:00          | \$1.93             | 09:34:38            | LONG                | \$2.09               | \$2.30                | \$0.21               | 10%                 |
| 10/09/17    | LINU          | 07:41:38          | 09:33:00          | \$1.93             | 11:42:17            | SHORT               | \$1.90               | \$1.52                | \$0.38               | 20%                 |
| 10/09/17    | TNDM          | 09:30:10          | 09:33:25          | \$0.74             | 09:34:36            | SHORT               | \$0.71               | \$0.57                | \$0.14               | 19%                 |
| 10/09/17    | RGSE          | 09:32:03          | 09:34:00          | \$1.62             | 09:46:55            | LONG                | \$1.67               | \$1.85                | \$0.18               | 11%                 |
| 10/09/17    | CLNT          | 07:25:20          | 09:34:00          | \$6.26             | 09:35:13            | LONG                | \$6.61               | \$8.25                | \$1.64               | 25%                 |
| 10/09/17    | CLSN          | 07:01:37          | 09:34:00          | \$3.36             | 09:42:20            | SHORT               | \$3.32               | \$3.30                | \$0.02               | 1%                  |
| 10/09/17    | CLSN          | 07:01:37          | 09:34:00          | \$3.36             | 10:29:25            | LONG                | \$3.46               | \$4.10                | \$0.64               | 19%                 |
| 10/09/17    | CYTX          | 07:32:43          | 09:35:00          | \$0.73             | 09:40:17            | SHORT               | \$0.67               | \$0.52                | \$0.15               | 22%                 |
| 10/09/17    | MNKD          | 05:01:11          | 09:37:00          | \$5.15             | 09:37:21            | SHORT               | \$5.10               | \$4.85                | \$0.25               | 5%                  |
| 10/09/17    | ANY           | 09:33:03          | 09:38:00          | \$3.42             | 09:52:33            | LONG                | \$3.71               | \$3.95                | \$0.24               | 7%                  |
| 10/10/17    | HMNY          | 07:26:11          | 09:32:00          | \$23.30            | 09:32:26            | LONG                | \$23.89              | \$28.70               | \$4.81               | 20%                 |
| 10/10/17    | NEOT          | 09:32:01          | 09:32:01          | \$0.64             | 09:33:35            | SHORT               | \$0.59               | \$0.51                | \$0.08               | 13%                 |
| 10/10/17    | ROKA          | 09:25:51          | 09:32:01          | \$1.55             | 09:34:37            | LONG                | \$1.79               | \$2.55                | \$0.76               | 43%                 |
| 10/10/17    | ANAB          | 07:53:54          | 09:32:01          | \$51.76            | 09:32:31            | SHORT               | \$50.62              | \$50.00               | \$0.62               | 1%                  |
| 10/10/17    | ANAB          | 07:53:54          | 09:32:01          | \$51.76            | 09:36:43            | LONG                | \$53.67              | \$70.48               | \$16.81              | 31%                 |
| 10/10/17    | CLNT          | 09:32:02          | 09:32:02          | \$5.83             | 09:33:58            | LONG                | \$6.01               | \$6.07                | \$0.06               | 1%                  |
| 10/10/17    | CLNT          | 09:32:02          | 09:32:02          | \$5.83             | 09:34:42            | SHORT               | \$5.74               | \$4.87                | \$0.87               | 15%                 |
| 10/10/17    | IZEA          | 08:15:48          | 09:32:04          | \$4.65             | 09:34:43            | LONG                | \$4.91               | \$4.95                | \$0.04               | 1%                  |
| 10/10/17    | IZEA          | 08:15:48          | 09:32:04          | \$4.65             | 10:12:14            | SHORT               | \$4.53               | \$4.18                | \$0.35               | 8%                  |
| 10/10/17    | SRAX          | 09:32:04          | 09:33:00          | \$3.25             | 09:36:16            | LONG                | \$3.26               | \$3.64                | \$0.38               | 12%                 |
| 10/10/17    | SRAX          | 09:32:04          | 09:33:00          | \$3.25             | 11:21:30            | SHORT               | \$2.99               | \$2.75                | \$0.24               | 8%                  |

| <u>Date</u> | <u>Symbol</u> | <u>Watch Time</u> | <u>Alert Time</u> | <u>Alert Price</u> | <u>Trigger Time</u> | <u>Trigger Type</u> | <u>Trigger Price</u> | <u>High/Low After</u> | <u>Move After \$</u> | <u>Move After %</u> |
|-------------|---------------|-------------------|-------------------|--------------------|---------------------|---------------------|----------------------|-----------------------|----------------------|---------------------|
| 10/10/17    | MNKD          | 07:00:06          | 09:33:00          | \$5.96             | 09:33:19            | SHORT               | \$5.88               | \$5.72                | \$0.16               | 3%                  |
| 10/10/17    | MNKD          | 07:00:06          | 09:33:00          | \$5.96             | 09:39:57            | LONG                | \$6.22               | \$6.96                | \$0.74               | 12%                 |
| 10/10/17    | VICL          | 06:47:05          | 09:33:00          | \$3.00             | 09:35:54            | SHORT               | \$2.97               | \$2.75                | \$0.22               | 7%                  |
| 10/10/17    | USEG          | 09:32:04          | 09:33:05          | \$1.08             | 09:35:23            | SHORT               | \$1.05               | \$0.95                | \$0.10               | 10%                 |
| 10/10/17    | ANY           | 09:32:04          | 09:34:00          | \$3.08             | 09:39:57            | SHORT               | \$2.99               | \$2.65                | \$0.34               | 11%                 |
| 10/10/17    | RPRX          | 09:30:23          | 09:34:00          | \$0.83             | 09:35:53            | SHORT               | \$0.79               | \$0.65                | \$0.14               | 18%                 |
| 10/11/17    | RGSE          | 08:36:13          | 09:33:00          | \$2.67             | 09:35:14            | SHORT               | \$2.49               | \$2.05                | \$0.44               | 18%                 |
| 10/11/17    | IDXG          | 08:50:24          | 09:35:00          | \$1.73             | 09:52:05            | SHORT               | \$1.64               | \$1.56                | \$0.08               | 5%                  |
| 10/11/17    | CASI          | 09:32:41          | 09:35:00          | \$3.44             | 09:36:33            | LONG                | \$3.76               | \$4.84                | \$1.08               | 29%                 |
| 10/11/17    | ATHX          | 08:00:04          | 09:35:00          | \$2.41             | 09:39:52            | SHORT               | \$2.39               | \$2.25                | \$0.14               | 6%                  |
| 10/11/17    | HDSN          | 08:24:33          | 09:35:01          | \$6.22             | 09:37:39            | LONG                | \$6.37               | \$6.84                | \$0.47               | 7%                  |
| 10/11/17    | MNGA          | 09:35:02          | 09:35:02          | \$0.53             | 10:37:12            | SHORT               | \$0.51               | \$0.48                | \$0.03               | 5%                  |
| 10/11/17    | ANY           | 09:35:04          | 09:35:04          | \$3.00             | 09:59:46            | SHORT               | \$2.82               | \$2.68                | \$0.14               | 5%                  |
| 10/11/17    | SRNE          | 09:35:05          | 09:35:05          | \$2.61             | 10:04:38            | LONG                | \$2.71               | \$3.85                | \$1.14               | 42%                 |
| 10/11/17    | ROKA          | 09:35:05          | 09:35:05          | \$1.85             | 09:41:10            | SHORT               | \$1.76               | \$1.62                | \$0.14               | 8%                  |
| 10/11/17    | AMMA          | 09:35:11          | 09:35:11          | \$1.92             | 09:37:25            | LONG                | \$1.99               | \$2.38                | \$0.39               | 20%                 |
| 10/11/17    | MNKD          | 04:11:10          | 09:36:00          | \$5.92             | 09:40:15            | SHORT               | \$5.89               | \$4.99                | \$0.90               | 15%                 |
| 10/11/17    | HMNY          | 05:44:35          | 09:36:00          | \$35.72            | 09:41:51            | SHORT               | \$33.31              | \$28.21               | \$5.10               | 15%                 |
| 10/11/17    | ABEO          | 09:35:17          | 09:37:00          | \$21.66            | 09:46:29            | LONG                | \$21.96              | \$22.75               | \$0.79               | 4%                  |
| 10/11/17    | ABEO          | 09:35:17          | 09:37:00          | \$21.66            | 10:17:20            | SHORT               | \$20.24              | \$18.20               | \$2.04               | 10%                 |
| 10/12/17    | ACRX          | 09:30:02          | 09:32:00          | \$2.05             | 09:35:44            | LONG                | \$2.16               | \$2.47                | \$0.31               | 15%                 |
| 10/12/17    | HMNY          | 07:10:50          | 09:32:00          | \$28.03            | 09:32:16            | LONG                | \$28.51              | \$30.20               | \$1.69               | 6%                  |
| 10/12/17    | HMNY          | 07:10:50          | 09:32:00          | \$28.03            | 13:11:38            | SHORT               | \$26.09              | \$20.25               | \$5.84               | 22%                 |
| 10/12/17    | ARDX          | 06:54:47          | 09:32:00          | \$7.98             | 09:32:53            | SHORT               | \$7.84               | \$7.30                | \$0.54               | 7%                  |
| 10/12/17    | ARDX          | 06:54:47          | 09:32:00          | \$7.98             | 10:14:21            | LONG                | \$8.46               | \$8.65                | \$0.19               | 2%                  |
| 10/12/17    | INFI          | 06:23:34          | 09:33:00          | \$2.75             | 09:49:35            | LONG                | \$3.01               | \$3.75                | \$0.74               | 25%                 |
| 10/12/17    | CASI          | 09:32:02          | 09:33:00          | \$3.65             | 09:35:37            | LONG                | \$3.74               | \$4.06                | \$0.32               | 9%                  |
| 10/12/17    | CASI          | 09:32:02          | 09:33:00          | \$3.65             | 09:48:49            | SHORT               | \$3.47               | \$3.33                | \$0.14               | 4%                  |
| 10/12/17    | CLSN          | 09:30:18          | 09:34:00          | \$5.49             | 09:41:33            | LONG                | \$5.66               | \$5.90                | \$0.24               | 4%                  |
| 10/12/17    | CLSN          | 09:30:18          | 09:34:00          | \$5.49             | 10:05:28            | SHORT               | \$5.28               | \$4.89                | \$0.39               | 7%                  |
| 10/12/17    | JILL          | 07:32:59          | 09:34:00          | \$5.05             | 09:39:47            | SHORT               | \$5.02               | \$4.82                | \$0.20               | 4%                  |
| 10/12/17    | JILL          | 07:32:59          | 09:34:00          | \$5.05             | 09:54:06            | LONG                | \$5.22               | \$5.28                | \$0.06               | 1%                  |
| 10/12/17    | ONCS          | 07:32:37          | 09:34:00          | \$1.30             | 09:34:10            | SHORT               | \$1.29               | \$1.20                | \$0.09               | 7%                  |
| 10/12/17    | SRNE          | 07:28:46          | 09:34:00          | \$3.51             | 09:43:24            | SHORT               | \$3.39               | \$3.00                | \$0.39               | 12%                 |
| 10/12/17    | MTBC          | 09:34:03          | 09:34:03          | \$3.46             | 09:34:59            | LONG                | \$3.56               | \$3.59                | \$0.03               | 1%                  |
| 10/12/17    | MTBC          | 09:34:03          | 09:34:03          | \$3.46             | 09:45:45            | SHORT               | \$3.32               | \$3.26                | \$0.06               | 2%                  |
| 10/12/17    | LBIX          | 09:34:05          | 09:34:05          | \$1.90             | 09:37:26            | SHORT               | \$1.82               | \$1.27                | \$0.55               | 30%                 |
| 10/12/17    | LTBR          | 09:34:15          | 09:34:15          | \$1.12             | 09:35:41            | SHORT               | \$1.09               | \$1.05                | \$0.04               | 4%                  |
| 10/12/17    | PTGX          | 09:34:14          | 09:35:00          | \$17.25            | 12:28:10            | SHORT               | \$17.17              | \$16.26               | \$0.91               | 5%                  |
| 10/12/17    | CLNT          | 07:37:00          | 09:35:16          | \$5.57             | 09:49:01            | SHORT               | \$5.29               | \$5.11                | \$0.18               | 3%                  |
| 10/12/17    | ROKA          | 09:35:18          | 09:36:00          | \$1.81             | 10:47:46            | LONG                | \$1.90               | \$2.38                | \$0.48               | 25%                 |
| 10/12/17    | BIOP          | 09:34:10          | 09:37:00          | \$8.33             | 10:20:30            | SHORT               | \$8.01               | \$7.40                | \$0.61               | 8%                  |
| 10/13/17    | ATRS          | 06:59:52          | 09:33:00          | \$2.29             | 09:39:38            | SHORT               | \$2.20               | \$2.16                | \$0.04               | 2%                  |
| 10/13/17    | ATRS          | 06:59:52          | 09:33:00          | \$2.29             | 12:31:52            | LONG                | \$2.34               | \$2.45                | \$0.11               | 5%                  |
| 10/13/17    | ITEK          | 06:35:21          | 09:33:00          | \$3.52             | 09:33:07            | LONG                | \$3.56               | \$3.80                | \$0.24               | 7%                  |
| 10/13/17    | ITEK          | 06:35:21          | 09:33:00          | \$3.52             | 10:15:21            | SHORT               | \$3.29               | \$2.52                | \$0.77               | 23%                 |
| 10/13/17    | PZRX          | 09:30:13          | 09:33:00          | \$1.79             | 09:48:50            | SHORT               | \$1.33               | \$1.01                | \$0.32               | 24%                 |
| 10/13/17    | INFI          | 07:01:20          | 09:33:00          | \$3.39             | 09:33:19            | LONG                | \$3.41               | \$3.62                | \$0.21               | 6%                  |
| 10/13/17    | INFI          | 07:01:20          | 09:33:00          | \$3.39             | 09:35:24            | SHORT               | \$3.20               | \$2.85                | \$0.35               | 11%                 |
| 10/13/17    | TOPS          | 04:42:16          | 09:34:00          | \$0.74             | 09:38:05            | LONG                | \$0.81               | \$0.82                | \$0.01               | 1%                  |
| 10/13/17    | TOPS          | 04:42:16          | 09:34:00          | \$0.74             | 09:44:01            | SHORT               | \$0.69               | \$0.57                | \$0.12               | 17%                 |
| 10/13/17    | MARK          | 08:47:25          | 09:34:00          | \$4.17             | 09:37:50            | SHORT               | \$3.84               | \$3.37                | \$0.47               | 12%                 |
| 10/13/17    | CPST          | 09:33:01          | 09:34:00          | \$1.26             | 09:34:20            | SHORT               | \$1.22               | \$1.05                | \$0.17               | 14%                 |
| 10/13/17    | AKER          | 09:34:02          | 09:34:02          | \$1.21             | 09:40:17            | SHORT               | \$1.17               | \$1.02                | \$0.15               | 13%                 |

| <u>Date</u> | <u>Symbol</u> | <u>Watch Time</u> | <u>Alert Time</u> | <u>Alert Price</u> | <u>Trigger Time</u> | <u>Trigger Type</u> | <u>Trigger Price</u> | <u>High/Low After</u> | <u>Move After \$</u> | <u>Move After %</u> |
|-------------|---------------|-------------------|-------------------|--------------------|---------------------|---------------------|----------------------|-----------------------|----------------------|---------------------|
| 10/13/17    | ZSAN          | 09:33:01          | 09:34:03          | \$1.10             | 10:53:21            | SHORT               | \$1.02               | \$1.02                | \$0.00               | 0%                  |
| 10/13/17    | SRNE          | 09:34:04          | 09:34:04          | \$3.11             | 09:35:29            | SHORT               | \$3.00               | \$2.75                | \$0.25               | 8%                  |
| 10/13/17    | SRNE          | 09:34:04          | 09:34:04          | \$3.11             | 14:04:49            | LONG                | \$3.16               | \$3.35                | \$0.19               | 6%                  |
| 10/13/17    | ECYT          | 08:21:12          | 09:34:05          | \$4.48             | 09:45:27            | SHORT               | \$4.32               | \$4.21                | \$0.11               | 3%                  |
| 10/13/17    | CASI          | 09:34:09          | 09:34:09          | \$3.40             | 09:34:17            | SHORT               | \$3.39               | \$2.62                | \$0.77               | 23%                 |
| 10/13/17    | TNDM          | 07:35:25          | 09:36:00          | \$3.06             | 09:36:27            | SHORT               | \$2.99               | \$2.86                | \$0.13               | 4%                  |
| 10/13/17    | HMNY          | 07:15:47          | 09:36:00          | \$17.90            | 10:23:50            | LONG                | \$19.71              | \$24.75               | \$5.04               | 26%                 |
| 10/16/17    | CLSN          | 08:31:55          | 09:32:00          | \$4.62             | 09:34:56            | SHORT               | \$4.39               | \$4.00                | \$0.39               | 9%                  |
| 10/16/17    | CLSN          | 08:31:55          | 09:32:00          | \$4.62             | 10:07:08            | LONG                | \$4.70               | \$4.77                | \$0.07               | 2%                  |
| 10/16/17    | HMNY          | 07:05:11          | 09:33:00          | \$22.65            | 09:34:23            | LONG                | \$23.01              | \$23.20               | \$0.19               | 1%                  |
| 10/16/17    | HMNY          | 07:05:11          | 09:33:00          | \$22.65            | 10:31:19            | SHORT               | \$21.59              | \$20.30               | \$1.29               | 6%                  |
| 10/16/17    | LBIX          | 06:07:44          | 09:34:00          | \$3.46             | 09:34:26            | LONG                | \$3.64               | \$3.69                | \$0.05               | 1%                  |
| 10/16/17    | LBIX          | 06:07:44          | 09:34:00          | \$3.46             | 11:18:22            | SHORT               | \$2.93               | \$2.73                | \$0.20               | 7%                  |