

| Date | Symbol | Watch Time | Alert Time | Alert Price | Trigger Time | Trigger Type | Trigger Price | High/Low After | Move After \$ | Move After % |
|----------|--------|------------|------------|-------------|--------------|--------------|---------------|----------------|---------------|--------------|
| 09/01/16 | VKTX | 08:13:58 | 09:32:00 | \$1.38 | 09:32:37 | SHORT | \$1.37 | \$1.27 | \$0.10 | 7% |
| 09/01/16 | CSBR | 09:24:14 | 09:32:00 | \$1.84 | 09:32:14 | SHORT | \$1.74 | \$1.45 | \$0.29 | 17% |
| 09/01/16 | CYTK | 08:36:09 | 09:33:00 | \$12.88 | 09:40:45 | SHORT | \$12.50 | \$10.05 | \$2.45 | 20% |
| 09/01/16 | SPU | 07:32:09 | 09:34:00 | \$12.32 | 09:35:35 | SHORT | \$12.14 | \$11.05 | \$1.09 | 9% |
| 09/01/16 | ESI | 09:08:06 | 09:35:00 | \$0.37 | 14:06:54 | SHORT | \$0.35 | \$0.29 | \$0.06 | 17% |
| 09/01/16 | PTX | 08:56:54 | 09:35:00 | \$0.60 | 11:10:36 | SHORT | \$0.58 | \$0.58 | \$0.00 | 0% |
| 09/02/16 | ESI | 09:13:31 | 09:32:00 | \$0.32 | 10:03:10 | LONG | \$0.34 | \$0.48 | \$0.14 | 42% |
| 09/02/16 | XCOM | 07:57:38 | 09:33:00 | \$0.81 | 14:33:28 | SHORT | \$0.70 | \$0.68 | \$0.02 | 3% |
| 09/02/16 | DRYS | 08:55:37 | 09:33:00 | \$0.63 | 09:37:47 | SHORT | \$0.61 | \$0.53 | \$0.08 | 13% |
| 09/06/16 | CLCD | 07:50:23 | 09:32:00 | \$19.75 | 09:32:15 | SHORT | \$19.24 | \$17.45 | \$1.79 | 9% |
| 09/06/16 | CLCD | 07:50:23 | 09:32:00 | \$19.75 | 11:39:29 | LONG | \$20.08 | \$24.65 | \$4.57 | 23% |
| 09/06/16 | THLD | 04:48:29 | 09:32:00 | \$1.32 | 09:36:14 | SHORT | \$1.28 | \$1.25 | \$0.03 | 2% |
| 09/06/16 | THLD | 04:48:29 | 09:32:00 | \$1.32 | 09:47:17 | LONG | \$1.40 | \$1.48 | \$0.08 | 6% |
| 09/06/16 | SPU | 08:38:17 | 09:32:00 | \$13.01 | 09:34:19 | LONG | \$13.81 | \$13.88 | \$0.07 | 1% |
| 09/06/16 | SPU | 08:38:17 | 09:32:00 | \$13.01 | 09:57:56 | SHORT | \$12.79 | \$11.67 | \$1.12 | 9% |
| 09/06/16 | LEE | 09:31:17 | 09:33:00 | \$3.40 | 09:57:46 | LONG | \$3.51 | \$3.54 | \$0.03 | 1% |
| 09/06/16 | LEE | 09:31:17 | 09:33:00 | \$3.40 | 10:17:29 | SHORT | \$3.37 | \$3.30 | \$0.07 | 2% |
| 09/06/16 | KPTI | 08:05:41 | 09:33:00 | \$10.10 | 09:33:34 | SHORT | \$9.74 | \$8.68 | \$1.06 | 11% |
| 09/06/16 | TNXP | 08:00:01 | 09:34:00 | \$0.94 | 09:35:02 | SHORT | \$0.93 | \$0.90 | \$0.03 | 3% |
| 09/06/16 | TNXP | 08:00:01 | 09:34:00 | \$0.94 | 09:44:08 | LONG | \$1.04 | \$1.08 | \$0.04 | 4% |
| 09/07/16 | PTX | 09:32:00 | 09:32:00 | \$0.54 | 13:47:40 | LONG | \$0.57 | \$0.58 | \$0.01 | 2% |
| 09/07/16 | GEVO | 09:00:14 | 09:32:00 | \$0.73 | 09:34:26 | LONG | \$0.76 | \$0.80 | \$0.04 | 5% |
| 09/07/16 | GEVO | 09:00:14 | 09:32:00 | \$0.73 | 09:58:48 | SHORT | \$0.70 | \$0.67 | \$0.03 | 4% |
| 09/07/16 | CLCD | 08:02:52 | 09:32:00 | \$24.40 | 09:32:50 | SHORT | \$24.11 | \$19.87 | \$4.24 | 18% |
| 09/07/16 | CLCD | 08:02:52 | 09:32:00 | \$24.40 | 09:36:13 | LONG | \$25.08 | \$25.75 | \$0.67 | 3% |
| 09/07/16 | WATT | 09:30:25 | 09:33:00 | \$18.65 | 09:47:12 | SHORT | \$18.11 | \$16.13 | \$1.98 | 11% |
| 09/07/16 | AMDA | 09:32:01 | 09:33:00 | \$0.97 | 09:33:33 | SHORT | \$0.94 | \$0.88 | \$0.06 | 6% |
| 09/08/16 | TKAI | 08:01:58 | 09:32:00 | \$1.12 | 09:33:38 | LONG | \$1.17 | \$1.19 | \$0.02 | 2% |
| 09/08/16 | TKAI | 08:01:58 | 09:32:00 | \$1.12 | 10:17:15 | SHORT | \$1.10 | \$1.06 | \$0.04 | 4% |
| 09/08/16 | THLD | 09:32:00 | 09:32:00 | \$1.24 | 09:35:45 | LONG | \$1.26 | \$1.29 | \$0.03 | 2% |
| 09/08/16 | RPRX | 07:45:19 | 09:32:00 | \$2.35 | 09:33:51 | SHORT | \$2.28 | \$2.06 | \$0.22 | 10% |
| 09/08/16 | FCEL | 09:32:00 | 09:32:00 | \$5.01 | 09:36:49 | LONG | \$5.10 | \$5.35 | \$0.25 | 5% |
| 09/08/16 | CGNT | 08:00:15 | 09:32:00 | \$1.70 | 09:40:38 | SHORT | \$1.66 | \$1.62 | \$0.04 | 2% |
| 09/08/16 | CGNT | 08:00:15 | 09:32:00 | \$1.70 | 13:52:41 | LONG | \$1.79 | \$1.87 | \$0.08 | 5% |
| 09/08/16 | BCEI | 08:31:51 | 09:33:00 | \$1.27 | 09:34:03 | SHORT | \$1.24 | \$1.19 | \$0.05 | 4% |
| 09/08/16 | BCEI | 08:31:51 | 09:33:00 | \$1.27 | 14:54:19 | LONG | \$1.31 | \$1.42 | \$0.11 | 8% |
| 09/08/16 | TLRD | 09:30:52 | 09:33:00 | \$16.68 | 09:35:17 | SHORT | \$16.46 | \$15.89 | \$0.57 | 4% |
| 09/08/16 | TLRD | 09:30:52 | 09:33:00 | \$16.68 | 12:35:09 | LONG | \$17.25 | \$17.38 | \$0.13 | 1% |
| 09/08/16 | XENE | 09:32:12 | 09:34:00 | \$7.59 | 10:25:50 | LONG | \$7.76 | \$8.09 | \$0.33 | 4% |
| 09/09/16 | LNTH | 07:53:45 | 09:32:00 | \$7.43 | 09:38:32 | SHORT | \$7.40 | \$7.18 | \$0.22 | 3% |
| 09/09/16 | LNTH | 07:53:45 | 09:32:00 | \$7.43 | 10:14:00 | LONG | \$7.68 | \$7.95 | \$0.27 | 4% |
| 09/09/16 | BAS | 07:47:22 | 09:32:00 | \$0.67 | 09:32:32 | LONG | \$0.68 | \$0.73 | \$0.05 | 8% |
| 09/09/16 | BAS | 07:47:22 | 09:32:00 | \$0.67 | 10:10:52 | SHORT | \$0.63 | \$0.55 | \$0.08 | 13% |
| 09/09/16 | VMEM | 09:32:00 | 09:32:00 | \$1.11 | 09:32:30 | SHORT | \$1.09 | \$0.94 | \$0.15 | 13% |
| 09/09/16 | PTI | 07:49:42 | 09:33:00 | \$13.14 | 11:22:26 | SHORT | \$12.77 | \$12.29 | \$0.48 | 4% |
| 09/09/16 | CSBR | 08:18:06 | 09:33:00 | \$1.96 | 09:34:32 | SHORT | \$1.91 | \$1.51 | \$0.40 | 21% |
| 09/09/16 | BCEI | 08:00:01 | 09:33:00 | \$1.33 | 10:07:29 | SHORT | \$1.28 | \$1.22 | \$0.06 | 5% |
| 09/12/16 | GERN | 07:06:14 | 09:32:00 | \$2.12 | 09:32:13 | LONG | \$2.15 | \$2.45 | \$0.30 | 14% |
| 09/12/16 | EARS | 09:30:13 | 09:32:00 | \$1.89 | 09:36:00 | SHORT | \$1.81 | \$1.65 | \$0.16 | 9% |
| 09/12/16 | CUR | 08:30:01 | 09:32:00 | \$0.33 | 09:33:27 | LONG | \$0.34 | \$0.35 | \$0.01 | 2% |
| 09/12/16 | CUR | 08:30:01 | 09:32:00 | \$0.33 | 09:45:40 | SHORT | \$0.30 | \$0.29 | \$0.01 | 3% |

| <u>Date</u> | <u>Symbol</u> | <u>Watch Time</u> | <u>Alert Time</u> | <u>Alert Price</u> | <u>Trigger Time</u> | <u>Trigger Type</u> | <u>Trigger Price</u> | <u>High/Low After</u> | <u>Move After \$</u> | <u>Move After %</u> |
|-------------|---------------|-------------------|-------------------|--------------------|---------------------|---------------------|----------------------|-----------------------|----------------------|---------------------|
| 09/12/16 | CLSN | 08:01:44 | 09:32:00 | \$1.31 | 09:32:02 | SHORT | \$1.29 | \$1.22 | \$0.07 | 5% |
| 09/13/16 | EYEG | 08:33:30 | 09:32:00 | \$1.83 | 09:38:42 | SHORT | \$1.77 | \$1.55 | \$0.22 | 12% |
| 09/13/16 | AGTC | 08:39:45 | 09:32:00 | \$9.37 | 09:41:31 | SHORT | \$9.07 | \$8.77 | \$0.30 | 3% |
| 09/13/16 | SORL | 09:32:00 | 09:32:00 | \$3.40 | 09:32:12 | LONG | \$3.44 | \$3.47 | \$0.03 | 1% |
| 09/13/16 | SORL | 09:32:00 | 09:32:00 | \$3.40 | 09:32:58 | SHORT | \$3.31 | \$3.26 | \$0.05 | 2% |
| 09/13/16 | BAS | 09:32:02 | 09:33:00 | \$0.57 | 12:17:59 | SHORT | \$0.56 | \$0.55 | \$0.01 | 1% |
| 09/14/16 | OPTT | 09:18:31 | 09:32:00 | \$8.08 | 09:33:55 | SHORT | \$7.92 | \$7.21 | \$0.71 | 9% |
| 09/14/16 | HOME | 09:30:19 | 09:32:00 | \$13.52 | 09:41:48 | SHORT | \$13.26 | \$12.48 | \$0.78 | 6% |
| 09/14/16 | NEON | 09:32:00 | 09:32:00 | \$1.40 | 09:41:34 | LONG | \$1.41 | \$1.44 | \$0.03 | 2% |
| 09/14/16 | NEON | 09:32:00 | 09:32:00 | \$1.40 | 10:46:10 | SHORT | \$1.28 | \$1.26 | \$0.02 | 2% |
| 09/14/16 | GBSN | 09:33:00 | 09:33:00 | \$0.13 | 10:04:10 | SHORT | \$0.12 | \$0.12 | \$0.00 | 4% |
| 09/14/16 | BLFS | 09:04:03 | 09:33:00 | \$1.95 | 09:34:38 | LONG | \$2.01 | \$2.07 | \$0.06 | 3% |
| 09/14/16 | BLFS | 09:04:03 | 09:33:00 | \$1.95 | 09:40:15 | SHORT | \$1.87 | \$1.71 | \$0.16 | 9% |
| 09/14/16 | RLJE | 09:31:31 | 09:33:00 | \$2.43 | 09:33:22 | SHORT | \$2.33 | \$2.01 | \$0.32 | 14% |
| 09/14/16 | NWBO | 08:27:03 | 09:34:00 | \$0.57 | 09:42:43 | SHORT | \$0.56 | \$0.48 | \$0.08 | 15% |
| 09/14/16 | NWBO | 08:27:03 | 09:34:00 | \$0.57 | 10:50:13 | LONG | \$0.62 | \$0.63 | \$0.01 | 2% |
| 09/15/16 | SPHS | 08:25:56 | 09:32:00 | \$3.13 | 09:32:06 | SHORT | \$3.11 | \$2.90 | \$0.21 | 7% |
| 09/15/16 | XOMA | 09:32:00 | 09:32:00 | \$0.67 | 09:51:38 | SHORT | \$0.63 | \$0.61 | \$0.02 | 3% |
| 09/15/16 | RADA | 08:38:08 | 09:32:00 | \$1.21 | 09:32:24 | SHORT | \$1.19 | \$1.04 | \$0.15 | 13% |
| 09/15/16 | RADA | 08:38:08 | 09:32:00 | \$1.21 | 09:37:47 | LONG | \$1.26 | \$1.28 | \$0.02 | 2% |
| 09/15/16 | DELT | 09:25:36 | 09:32:00 | \$1.94 | 09:38:02 | SHORT | \$1.83 | \$1.65 | \$0.18 | 10% |
| 09/15/16 | AERI | 06:51:15 | 09:32:00 | \$33.75 | 09:47:31 | SHORT | \$33.04 | \$29.60 | \$3.44 | 10% |
| 09/15/16 | ACST | 06:19:43 | 09:33:00 | \$2.79 | 09:35:18 | SHORT | \$2.47 | \$2.12 | \$0.35 | 14% |
| 09/15/16 | ACRX | 07:17:04 | 09:33:00 | \$3.64 | 09:34:05 | LONG | \$3.71 | \$3.83 | \$0.12 | 3% |
| 09/15/16 | ACRX | 07:17:04 | 09:33:00 | \$3.64 | 09:44:04 | SHORT | \$3.58 | \$3.52 | \$0.06 | 2% |
| 09/15/16 | NWBO | 09:28:28 | 09:33:00 | \$0.44 | 10:08:36 | SHORT | \$0.39 | \$0.38 | \$0.01 | 3% |
| 09/16/16 | OHGI | 09:32:00 | 09:32:00 | \$0.80 | 09:32:05 | SHORT | \$0.77 | \$0.64 | \$0.13 | 17% |
| 09/16/16 | RELV | 08:50:01 | 09:32:00 | \$1.02 | 09:43:03 | SHORT | \$0.95 | \$0.70 | \$0.25 | 26% |
| 09/16/16 | ANGO | 09:32:00 | 09:32:00 | \$16.27 | 11:42:15 | LONG | \$16.80 | \$16.91 | \$0.11 | 1% |
| 09/16/16 | GNMK | 09:30:01 | 09:32:00 | \$10.36 | 09:45:05 | LONG | \$10.61 | \$10.98 | \$0.37 | 4% |
| 09/16/16 | TGTX | 09:32:00 | 09:32:00 | \$7.25 | 09:32:44 | SHORT | \$7.20 | \$7.14 | \$0.06 | 1% |
| 09/16/16 | CXRX | 09:08:09 | 09:32:00 | \$5.78 | 09:32:02 | SHORT | \$5.77 | \$4.80 | \$0.97 | 17% |
| 09/16/16 | SITO | 08:58:34 | 09:32:00 | \$3.89 | 09:32:13 | LONG | \$3.91 | \$4.62 | \$0.71 | 18% |
| 09/16/16 | NWBO | 09:32:45 | 09:33:00 | \$0.42 | 13:39:35 | LONG | \$0.44 | \$0.50 | \$0.06 | 14% |
| 09/16/16 | SKLN | 09:14:09 | 09:34:00 | \$0.18 | 09:44:13 | LONG | \$0.19 | \$0.20 | \$0.01 | 8% |
| 09/16/16 | ARNA | 09:33:01 | 09:35:00 | \$1.69 | 10:06:32 | SHORT | \$1.67 | \$1.62 | \$0.05 | 3% |
| 09/19/16 | NWBO | 09:30:43 | 09:32:00 | \$0.50 | 09:33:36 | LONG | \$0.54 | \$0.64 | \$0.10 | 19% |
| 09/19/16 | NVAX | 06:23:23 | 09:32:00 | \$1.42 | 09:32:42 | SHORT | \$1.38 | \$1.36 | \$0.02 | 1% |
| 09/19/16 | NVAX | 06:23:23 | 09:32:00 | \$1.42 | 09:38:08 | LONG | \$1.47 | \$1.50 | \$0.03 | 2% |
| 09/19/16 | CFRX | 09:30:35 | 09:32:00 | \$2.40 | 09:34:02 | SHORT | \$2.38 | \$2.20 | \$0.18 | 8% |
| 09/19/16 | CFRX | 09:30:35 | 09:32:00 | \$2.40 | 09:52:45 | LONG | \$2.48 | \$2.50 | \$0.02 | 1% |
| 09/19/16 | SKLN | 08:11:22 | 09:33:00 | \$0.19 | 09:39:10 | SHORT | \$0.18 | \$0.18 | \$0.00 | 2% |
| 09/20/16 | WINT | 08:15:56 | 09:32:00 | \$2.63 | 09:32:29 | LONG | \$2.74 | \$2.85 | \$0.11 | 4% |
| 09/20/16 | WINT | 08:15:56 | 09:32:00 | \$2.63 | 09:36:07 | SHORT | \$2.52 | \$2.47 | \$0.05 | 2% |
| 09/20/16 | CNAT | 08:08:16 | 09:32:00 | \$2.27 | 09:32:44 | SHORT | \$2.20 | \$2.03 | \$0.17 | 8% |
| 09/20/16 | GALT | 08:10:09 | 09:32:00 | \$1.98 | 09:32:13 | SHORT | \$1.95 | \$1.83 | \$0.12 | 6% |
| 09/20/16 | VKTX | 09:32:00 | 09:32:00 | \$1.36 | 10:17:37 | SHORT | \$1.27 | \$1.26 | \$0.01 | 1% |
| 09/20/16 | GBSN | 09:23:16 | 09:33:00 | \$4.78 | 09:37:02 | SHORT | \$4.53 | \$3.57 | \$0.96 | 21% |
| 09/20/16 | TBRA | 08:30:01 | 09:33:00 | \$34.69 | 09:33:57 | LONG | \$35.01 | \$41.39 | \$6.38 | 18% |
| 09/21/16 | SORL | 09:29:59 | 09:32:00 | \$3.80 | 09:44:16 | LONG | \$4.00 | \$4.05 | \$0.05 | 1% |
| 09/21/16 | BSPM | 09:32:00 | 09:32:00 | \$3.68 | 09:45:21 | SHORT | \$3.59 | \$3.53 | \$0.06 | 2% |
| 09/21/16 | BSPM | 09:32:00 | 09:32:00 | \$3.68 | 11:17:33 | LONG | \$3.81 | \$3.87 | \$0.06 | 2% |
| 09/21/16 | NWBO | 08:12:51 | 09:32:00 | \$0.64 | 09:40:49 | SHORT | \$0.61 | \$0.55 | \$0.06 | 9% |
| 09/21/16 | NWBO | 08:12:51 | 09:32:00 | \$0.64 | 14:58:54 | LONG | \$0.70 | \$0.71 | \$0.01 | 1% |

| <u>Date</u> | <u>Symbol</u> | <u>Watch Time</u> | <u>Alert Time</u> | <u>Alert Price</u> | <u>Trigger Time</u> | <u>Trigger Type</u> | <u>Trigger Price</u> | <u>High/Low After</u> | <u>Move After \$</u> | <u>Move After %</u> |
|-------------|---------------|-------------------|-------------------|--------------------|---------------------|---------------------|----------------------|-----------------------|----------------------|---------------------|
| 09/21/16 | EBIO | 07:59:59 | 09:32:00 | \$3.83 | 09:32:07 | SHORT | \$3.81 | \$3.42 | \$0.39 | 10% |
| 09/21/16 | EBIO | 07:59:59 | 09:32:00 | \$3.83 | 09:39:22 | LONG | \$4.00 | \$4.04 | \$0.04 | 1% |
| 09/21/16 | SKLN | 09:10:58 | 09:33:00 | \$0.20 | 11:56:18 | SHORT | \$0.18 | \$0.18 | \$0.00 | 3% |
| 09/21/16 | CNAT | 09:33:00 | 09:33:00 | \$2.10 | 09:33:06 | SHORT | \$2.09 | \$2.01 | \$0.08 | 4% |
| 09/21/16 | PPHM | 08:53:00 | 09:34:00 | \$0.41 | 09:44:48 | LONG | \$0.44 | \$0.48 | \$0.04 | 10% |
| 09/22/16 | GALT | 07:57:36 | 09:33:00 | \$2.74 | 09:34:56 | LONG | \$2.88 | \$3.05 | \$0.17 | 6% |
| 09/22/16 | GALT | 07:57:36 | 09:33:00 | \$2.74 | 09:51:53 | SHORT | \$2.68 | \$2.61 | \$0.07 | 3% |
| 09/22/16 | BSPM | 08:06:26 | 09:33:00 | \$4.09 | 09:35:52 | SHORT | \$4.03 | \$3.61 | \$0.42 | 10% |
| 09/22/16 | NWBO | 09:31:22 | 09:34:00 | \$0.59 | 10:28:40 | LONG | \$0.64 | \$0.67 | \$0.03 | 4% |
| 09/22/16 | NWBO | 09:31:22 | 09:34:00 | \$0.59 | 15:27:48 | SHORT | \$0.58 | \$0.55 | \$0.03 | 5% |
| 09/22/16 | AVXL | 07:50:34 | 09:35:00 | \$2.99 | 09:44:00 | LONG | \$3.09 | \$3.54 | \$0.45 | 15% |
| 09/23/16 | IPCI | 08:35:39 | 09:32:00 | \$2.04 | 09:36:38 | LONG | \$2.12 | \$2.24 | \$0.12 | 6% |
| 09/23/16 | GALT | 09:32:00 | 09:32:00 | \$2.70 | 09:39:19 | SHORT | \$2.64 | \$2.60 | \$0.04 | 2% |
| 09/23/16 | SAEX | 08:18:45 | 09:32:00 | \$10.25 | 09:33:35 | SHORT | \$9.86 | \$8.31 | \$1.55 | 16% |
| 09/23/16 | TKAI | 08:05:39 | 09:33:00 | \$1.56 | 09:35:01 | SHORT | \$1.51 | \$1.48 | \$0.03 | 2% |
| 09/23/16 | TKAI | 08:05:39 | 09:33:00 | \$1.56 | 14:10:02 | LONG | \$1.71 | \$1.85 | \$0.14 | 8% |
| 09/23/16 | MIFI | 07:41:20 | 09:33:00 | \$3.60 | 09:37:46 | SHORT | \$3.54 | \$3.27 | \$0.27 | 8% |
| 09/23/16 | NVAX | 06:56:54 | 09:33:00 | \$2.20 | 09:39:27 | LONG | \$2.26 | \$2.41 | \$0.15 | 7% |
| 09/23/16 | BDSI | 09:33:10 | 09:34:00 | \$2.67 | 09:37:10 | LONG | \$2.69 | \$2.82 | \$0.13 | 5% |
| 09/26/16 | PTIE | 08:05:36 | 09:32:00 | \$1.06 | 09:38:37 | LONG | \$1.11 | \$1.37 | \$0.26 | 23% |
| 09/26/16 | NVAX | 07:02:04 | 09:32:00 | \$2.32 | 09:33:49 | LONG | \$2.36 | \$2.43 | \$0.07 | 3% |
| 09/26/16 | MRNS | 09:30:08 | 09:32:00 | \$2.13 | 09:32:42 | SHORT | \$2.10 | \$2.03 | \$0.07 | 3% |
| 09/26/16 | MRNS | 09:30:08 | 09:32:00 | \$2.13 | 14:28:06 | LONG | \$2.19 | \$2.20 | \$0.01 | 1% |
| 09/26/16 | DRRX | 07:51:32 | 09:32:00 | \$1.18 | 09:55:57 | SHORT | \$1.11 | \$1.08 | \$0.03 | 3% |
| 09/26/16 | SORL | 09:33:00 | 09:33:00 | \$3.54 | 09:48:56 | SHORT | \$3.51 | \$3.42 | \$0.09 | 3% |
| 09/26/16 | SORL | 09:33:00 | 09:33:00 | \$3.54 | 11:39:46 | LONG | \$3.66 | \$4.02 | \$0.36 | 10% |
| 09/26/16 | ARRY | 07:49:19 | 09:34:00 | \$4.73 | 09:37:30 | LONG | \$4.88 | \$6.65 | \$1.77 | 36% |
| 09/27/16 | SHIP | 09:26:00 | 09:32:00 | \$3.40 | 09:32:09 | SHORT | \$3.39 | \$3.10 | \$0.29 | 9% |
| 09/27/16 | RGSE | 09:30:08 | 09:32:00 | \$2.67 | 09:32:01 | SHORT | \$2.63 | \$2.42 | \$0.21 | 8% |
| 09/27/16 | RGSE | 09:30:08 | 09:32:00 | \$2.67 | 09:53:13 | LONG | \$2.86 | \$8.00 | \$5.14 | 180% |
| 09/27/16 | PTIE | 08:00:04 | 09:32:00 | \$1.37 | 09:32:29 | SHORT | \$1.34 | \$1.13 | \$0.21 | 16% |
| 09/27/16 | PTIE | 08:00:04 | 09:32:00 | \$1.37 | 09:34:05 | LONG | \$1.41 | \$1.42 | \$0.01 | 1% |
| 09/27/16 | CASC | 08:27:00 | 09:32:00 | \$1.72 | 09:38:42 | LONG | \$1.75 | \$1.83 | \$0.08 | 5% |
| 09/27/16 | CASC | 08:27:00 | 09:32:00 | \$1.72 | 10:36:41 | SHORT | \$1.66 | \$1.60 | \$0.06 | 4% |
| 09/27/16 | VNR | 09:30:44 | 09:33:00 | \$0.94 | 09:40:41 | SHORT | \$0.92 | \$0.86 | \$0.06 | 7% |
| 09/27/16 | ARRY | 05:09:33 | 09:33:00 | \$6.99 | 09:35:28 | SHORT | \$6.91 | \$6.30 | \$0.61 | 9% |
| 09/28/16 | OPTT | 09:32:00 | 09:32:00 | \$7.81 | 09:32:17 | LONG | \$8.01 | \$8.75 | \$0.74 | 9% |
| 09/28/16 | OPTT | 09:32:00 | 09:32:00 | \$7.81 | 15:15:16 | SHORT | \$7.65 | \$7.40 | \$0.25 | 3% |
| 09/28/16 | SEED | 07:28:19 | 09:32:00 | \$3.07 | 09:32:28 | SHORT | \$3.01 | \$2.33 | \$0.68 | 23% |
| 09/28/16 | EVOK | 09:30:35 | 09:32:00 | \$2.28 | 09:32:46 | LONG | \$2.33 | \$2.41 | \$0.08 | 3% |
| 09/28/16 | EVOK | 09:30:35 | 09:32:00 | \$2.28 | 09:43:07 | SHORT | \$2.23 | \$2.12 | \$0.11 | 5% |
| 09/28/16 | RGSE | 07:09:59 | 09:32:00 | \$5.72 | 09:32:04 | SHORT | \$5.59 | \$4.57 | \$1.02 | 18% |
| 09/28/16 | RGSE | 07:09:59 | 09:32:00 | \$5.72 | 09:40:55 | LONG | \$5.96 | \$7.50 | \$1.54 | 26% |
| 09/28/16 | AVXL | 07:14:15 | 09:33:00 | \$4.22 | 09:34:46 | LONG | \$4.44 | \$4.88 | \$0.44 | 10% |
| 09/28/16 | AVXL | 07:14:15 | 09:33:00 | \$4.22 | 14:26:40 | SHORT | \$4.02 | \$4.00 | \$0.02 | 1% |
| 09/28/16 | GALT | 08:04:48 | 09:34:00 | \$1.37 | 09:34:56 | LONG | \$1.41 | \$1.59 | \$0.18 | 13% |
| 09/28/16 | ARRY | 06:41:12 | 09:34:00 | \$6.83 | 09:37:29 | LONG | \$6.89 | \$6.95 | \$0.06 | 1% |
| 09/28/16 | ARRY | 06:41:12 | 09:34:00 | \$6.83 | 10:38:05 | SHORT | \$6.51 | \$6.18 | \$0.33 | 5% |
| 09/29/16 | MRNS | 09:02:23 | 09:32:00 | \$1.92 | 09:33:13 | LONG | \$2.01 | \$2.47 | \$0.46 | 23% |
| 09/29/16 | REXX | 07:30:59 | 09:32:00 | \$0.61 | 09:44:06 | LONG | \$0.63 | \$0.67 | \$0.04 | 6% |
| 09/29/16 | RGSE | 07:59:59 | 09:32:00 | \$5.08 | 09:33:41 | LONG | \$5.17 | \$5.52 | \$0.35 | 7% |
| 09/29/16 | RGSE | 07:59:59 | 09:32:00 | \$5.08 | 09:45:39 | SHORT | \$4.89 | \$3.74 | \$1.15 | 24% |
| 09/29/16 | ADHD | 07:49:52 | 09:32:00 | \$2.05 | 09:32:37 | LONG | \$2.20 | \$2.58 | \$0.38 | 17% |
| 09/29/16 | ADHD | 07:49:52 | 09:32:00 | \$2.05 | 10:03:08 | SHORT | \$1.99 | \$1.95 | \$0.04 | 2% |

| <u>Date</u> | <u>Symbol</u> | <u>Watch Time</u> | <u>Alert Time</u> | <u>Alert Price</u> | <u>Trigger Time</u> | <u>Trigger Type</u> | <u>Trigger Price</u> | <u>High/Low After</u> | <u>Move After \$</u> | <u>Move After %</u> |
|-------------|---------------|-------------------|-------------------|--------------------|---------------------|---------------------|----------------------|-----------------------|----------------------|---------------------|
| 09/29/16 | CLRB | 09:32:00 | 09:32:00 | \$3.07 | 09:33:58 | SHORT | \$2.97 | \$2.61 | \$0.36 | 12% |
| 09/29/16 | AEGR | 07:28:59 | 09:32:00 | \$3.27 | 09:33:40 | SHORT | \$3.21 | \$2.93 | \$0.28 | 9% |
| 09/29/16 | CATB | 08:31:26 | 09:32:00 | \$5.41 | 09:32:10 | SHORT | \$5.30 | \$5.11 | \$0.19 | 4% |
| 09/29/16 | CATB | 08:31:26 | 09:32:00 | \$5.41 | 09:42:20 | LONG | \$5.70 | \$7.89 | \$2.19 | 38% |
| 09/29/16 | XGTI | 08:42:07 | 09:32:00 | \$0.34 | 09:46:39 | LONG | \$0.36 | \$0.37 | \$0.01 | 2% |
| 09/29/16 | XGTI | 08:42:07 | 09:32:00 | \$0.34 | 14:16:18 | SHORT | \$0.32 | \$0.31 | \$0.01 | 3% |
| 09/29/16 | GNCA | 08:01:15 | 09:33:00 | \$6.11 | 09:52:46 | SHORT | \$5.64 | \$4.80 | \$0.84 | 15% |
| 09/29/16 | SPU | 09:32:03 | 09:33:00 | \$10.63 | 09:36:24 | SHORT | \$10.01 | \$9.05 | \$0.96 | 10% |
| 09/30/16 | CATB | 07:18:38 | 09:32:00 | \$6.38 | 09:33:00 | SHORT | \$6.29 | \$5.75 | \$0.54 | 9% |
| 09/30/16 | REXX | 09:31:17 | 09:33:00 | \$0.59 | 09:36:14 | SHORT | \$0.57 | \$0.56 | \$0.01 | 2% |
| 09/30/16 | BAS | 08:35:52 | 09:33:00 | \$0.87 | 09:35:09 | SHORT | \$0.84 | \$0.76 | \$0.08 | 9% |
| 09/30/16 | AUPH | 07:03:26 | 09:33:00 | \$3.21 | 09:33:10 | LONG | \$3.31 | \$3.72 | \$0.41 | 12% |
| 09/30/16 | ADHD | 09:30:41 | 09:33:00 | \$2.40 | 09:33:21 | LONG | \$2.46 | \$2.55 | \$0.09 | 4% |
| 09/30/16 | ACPW | 09:15:09 | 09:33:00 | \$0.40 | 09:38:34 | LONG | \$0.44 | \$0.65 | \$0.21 | 48% |
| 09/30/16 | ACPW | 09:15:09 | 09:33:00 | \$0.40 | 11:24:50 | SHORT | \$0.37 | \$0.26 | \$0.11 | 30% |
| 09/30/16 | THLD | 07:07:18 | 09:35:00 | \$0.60 | 09:38:21 | LONG | \$0.61 | \$0.69 | \$0.08 | 13% |
| 09/30/16 | AMRS | 08:31:48 | 09:36:00 | \$0.57 | 12:32:24 | LONG | \$0.59 | \$0.60 | \$0.01 | 3% |