

| Date     | Symbol | Watch Time | Alert Time | Alert Price | Trigger Time | Trigger Type | Trigger Price | High/Low After | Move After \$ | Move After % |
|----------|--------|------------|------------|-------------|--------------|--------------|---------------|----------------|---------------|--------------|
| 09/03/13 | ALVR   | N/A        | 09:32:18   | \$0.78      | N/A          | LONG         | \$0.81        | \$0.81         | \$0.00        | 0%           |
| 09/03/13 | PRAN   | N/A        | 09:32:54   | \$5.36      | N/A          | LONG         | \$5.51        | \$5.57         | \$0.06        | 1%           |
| 09/03/13 | III    | N/A        | 09:32:59   | \$3.56      | N/A          | LONG         | \$3.60        | \$3.65         | \$0.05        | 1%           |
| 09/03/13 | HXM    | N/A        | 09:33:51   | \$2.30      | N/A          | SHORT        | \$2.19        | \$2.02         | \$0.17        | 8%           |
| 09/03/13 | GAME   | N/A        | 09:33:53   | \$3.93      | N/A          | SHORT        | \$3.86        | \$3.81         | \$0.05        | 1%           |
| 09/03/13 | GNK    | N/A        | 09:34:57   | \$3.08      | N/A          | SHORT        | \$2.98        | \$2.86         | \$0.12        | 4%           |
| 09/03/13 | SCMP   | N/A        | 09:35:01   | \$5.76      | N/A          | SHORT        | \$5.61        | \$5.40         | \$0.21        | 4%           |
| 09/03/13 | ARQL   | N/A        | 09:38:21   | \$2.49      | N/A          | LONG         | \$2.54        | \$2.62         | \$0.08        | 3%           |
| 09/04/13 | FFHL   | N/A        | 09:32:46   | \$1.49      | N/A          | LONG         | \$1.54        | \$2.20         | \$0.66        | 43%          |
| 09/04/13 | JASO   | N/A        | 09:34:17   | \$7.38      | N/A          | LONG         | \$7.61        | \$8.76         | \$1.15        | 15%          |
| 09/04/13 | RMTI   | N/A        | 09:36:03   | \$5.98      | N/A          | LONG         | \$6.15        | \$6.42         | \$0.27        | 4%           |
| 09/04/13 | LIVE   | N/A        | 09:37:05   | \$4.40      | N/A          | SHORT        | \$4.09        | \$3.93         | \$0.16        | 4%           |
| 09/05/13 | CLWT   | N/A        | 09:32:47   | \$4.75      | N/A          | SHORT        | \$4.65        | \$4.05         | \$0.60        | 13%          |
| 09/05/13 | BV     | N/A        | 09:32:53   | \$9.98      | N/A          | SHORT        | \$9.42        | \$9.21         | \$0.21        | 2%           |
| 09/05/13 | ENVI   | N/A        | 09:33:50   | \$3.47      | N/A          | SHORT        | \$3.39        | \$3.27         | \$0.12        | 4%           |
| 09/05/13 | LOCM   | N/A        | 09:36:01   | \$2.15      | N/A          | LONG         | \$2.25        | \$2.30         | \$0.05        | 2%           |
| 09/05/13 | HSOL   | N/A        | 09:36:02   | \$4.11      | N/A          | LONG         | \$4.19        | \$4.28         | \$0.09        | 2%           |
| 09/05/13 | MDXG   | N/A        | 09:36:04   | \$4.52      | N/A          | SHORT        | \$4.44        | \$4.22         | \$0.22        | 5%           |
| 09/05/13 | TITN   | N/A        | 09:39:17   | \$16.89     | N/A          | LONG         | \$17.16       | \$17.88        | \$0.72        | 4%           |
| 09/06/13 | KIOR   | N/A        | 09:32:48   | \$1.61      | N/A          | LONG         | \$1.64        | \$1.67         | \$0.03        | 2%           |
| 09/06/13 | LPTH   | N/A        | 09:32:51   | \$1.35      | N/A          | LONG         | \$1.46        | \$1.64         | \$0.18        | 12%          |
| 09/06/13 | LIVE   | N/A        | 09:32:57   | \$4.56      | N/A          | SHORT        | \$4.27        | \$4.12         | \$0.15        | 4%           |
| 09/06/13 | BALT   | N/A        | 09:33:53   | \$5.51      | N/A          | SHORT        | \$5.48        | \$5.15         | \$0.33        | 6%           |
| 09/06/13 | RMTI   | N/A        | 09:34:56   | \$8.87      | N/A          | SHORT        | \$8.67        | \$8.15         | \$0.52        | 6%           |
| 09/06/13 | ECTE   | N/A        | 09:35:03   | \$2.97      | N/A          | SHORT        | \$2.79        | \$2.68         | \$0.11        | 4%           |
| 09/06/13 | ENPH   | N/A        | 09:35:09   | \$6.89      | N/A          | SHORT        | \$6.88        | \$6.76         | \$0.12        | 2%           |
| 09/06/13 | KONG   | N/A        | 09:36:03   | \$12.36     | N/A          | LONG         | \$12.60       | \$14.92        | \$2.32        | 18%          |
| 09/09/13 | PGRX   | N/A        | 09:32:45   | \$2.95      | N/A          | LONG         | \$3.11        | \$4.60         | \$1.49        | 48%          |
| 09/09/13 | BIOD   | N/A        | 09:33:50   | \$4.44      | N/A          | SHORT        | \$4.33        | \$3.47         | \$0.86        | 20%          |
| 09/09/13 | UNIS   | N/A        | 09:33:51   | \$3.64      | N/A          | SHORT        | \$3.56        | \$3.17         | \$0.39        | 11%          |
| 09/09/13 | PLUG   | N/A        | 09:33:53   | \$0.72      | N/A          | LONG         | \$0.76        | \$0.77         | \$0.01        | 1%           |
| 09/09/13 | ONCY   | N/A        | 09:33:56   | \$2.85      | N/A          | SHORT        | \$2.80        | \$2.75         | \$0.05        | 2%           |
| 09/09/13 | DGLY   | N/A        | 09:34:03   | \$14.01     | N/A          | LONG         | \$14.25       | \$15.23        | \$0.98        | 7%           |
| 09/09/13 | BIOF   | N/A        | 09:34:05   | \$3.93      | N/A          | SHORT        | \$3.88        | \$3.75         | \$0.13        | 3%           |
| 09/09/13 | XGTI   | N/A        | 09:36:27   | \$5.51      | N/A          | SHORT        | \$5.46        | \$5.37         | \$0.09        | 2%           |
| 09/09/13 | ARWR   | N/A        | 09:40:34   | \$4.62      | N/A          | LONG         | \$4.71        | \$4.98         | \$0.27        | 6%           |
| 09/10/13 | COOL   | N/A        | 09:32:06   | \$0.58      | N/A          | LONG         | \$0.62        | \$0.62         | \$0.00        | 0%           |
| 09/10/13 | MODN   | N/A        | 09:32:45   | \$9.53      | N/A          | LONG         | \$9.67        | \$10.23        | \$0.56        | 6%           |
| 09/10/13 | GNK    | N/A        | 09:32:46   | \$4.81      | N/A          | SHORT        | \$4.74        | \$4.42         | \$0.32        | 7%           |
| 09/10/13 | OXBT   | N/A        | 09:32:49   | \$1.49      | N/A          | LONG         | \$1.56        | \$1.74         | \$0.18        | 12%          |
| 09/10/13 | LLEN   | N/A        | 09:33:56   | \$3.14      | N/A          | LONG         | \$3.21        | \$3.21         | \$0.00        | 0%           |
| 09/10/13 | CYCC   | N/A        | 09:34:02   | \$3.68      | N/A          | LONG         | \$3.69        | \$3.90         | \$0.21        | 6%           |
| 09/10/13 | PGRX   | N/A        | 09:36:02   | \$5.12      | N/A          | LONG         | \$5.49        | \$5.87         | \$0.38        | 7%           |
| 09/10/13 | CUR    | N/A        | 09:36:04   | \$2.27      | N/A          | LONG         | \$2.33        | \$2.52         | \$0.19        | 8%           |
| 09/11/13 | OVAS   | N/A        | 09:32:05   | \$9.35      | N/A          | LONG         | \$9.51        | \$11.75        | \$2.24        | 24%          |
| 09/11/13 | CWTR   | N/A        | 09:33:04   | \$2.20      | N/A          | SHORT        | \$2.13        | \$1.91         | \$0.22        | 10%          |
| 09/11/13 | PGRX   | N/A        | 09:33:50   | \$5.30      | N/A          | SHORT        | \$5.19        | \$4.32         | \$0.87        | 17%          |
| 09/11/13 | VTUS   | N/A        | 09:33:52   | \$2.97      | N/A          | LONG         | \$3.01        | \$3.75         | \$0.74        | 25%          |
| 09/11/13 | KIOR   | N/A        | 09:33:54   | \$1.80      | N/A          | SHORT        | \$1.74        | \$1.70         | \$0.04        | 2%           |
| 09/11/13 | LLEN   | N/A        | 09:33:59   | \$2.89      | N/A          | SHORT        | \$2.84        | \$2.52         | \$0.32        | 11%          |
| 09/12/13 | CPRX   | N/A        | 09:32:46   | \$2.69      | N/A          | SHORT        | \$2.61        | \$2.34         | \$0.27        | 10%          |

| <u>Date</u> | <u>Symbol</u> | <u>Watch Time</u> | <u>Alert Time</u> | <u>Alert Price</u> | <u>Trigger Time</u> | <u>Trigger Type</u> | <u>Trigger Price</u> | <u>High/Low After</u> | <u>Move After \$</u> | <u>Move After %</u> |
|-------------|---------------|-------------------|-------------------|--------------------|---------------------|---------------------|----------------------|-----------------------|----------------------|---------------------|
| 09/12/13    | GTXI          | N/A               | 09:33:51          | \$1.84             | N/A                 | SHORT               | \$1.78               | \$1.75                | \$0.03               | 2%                  |
| 09/12/13    | VRA           | N/A               | 09:33:52          | \$17.80            | N/A                 | LONG                | \$18.31              | \$20.58               | \$2.27               | 12%                 |
| 09/12/13    | OCLR          | N/A               | 09:34:55          | \$1.54             | N/A                 | LONG                | \$1.59               | \$1.59                | \$0.00               | 0%                  |
| 09/12/13    | VTUS          | N/A               | 09:37:45          | \$3.10             | N/A                 | SHORT               | \$2.98               | \$2.91                | \$0.07               | 2%                  |
| 09/12/13    | ROSG          | N/A               | 09:38:30          | \$3.56             | N/A                 | LONG                | \$3.62               | \$3.71                | \$0.09               | 3%                  |
| 09/13/13    | GALE          | N/A               | 09:32:46          | \$1.90             | N/A                 | LONG                | \$1.94               | \$1.95                | \$0.01               | 1%                  |
| 09/13/13    | OCLR          | N/A               | 09:32:47          | \$1.63             | N/A                 | LONG                | \$1.70               | \$1.71                | \$0.01               | 1%                  |
| 09/13/13    | RMTI          | N/A               | 09:33:50          | \$9.15             | N/A                 | LONG                | \$9.18               | \$10.20               | \$1.02               | 11%                 |
| 09/13/13    | GNVC          | N/A               | 09:36:02          | \$0.91             | N/A                 | LONG                | \$0.99               | \$1.31                | \$0.32               | 32%                 |
| 09/13/13    | PRAN          | N/A               | 09:36:04          | \$4.35             | N/A                 | SHORT               | \$4.04               | \$3.97                | \$0.07               | 2%                  |
| 09/13/13    | CUR           | N/A               | 09:42:30          | \$2.61             | N/A                 | LONG                | \$2.67               | \$2.81                | \$0.14               | 5%                  |
| 09/13/13    | SPEX          | N/A               | 09:44:44          | \$9.36             | N/A                 | SHORT               | \$9.31               | \$9.01                | \$0.30               | 3%                  |
| 09/16/13    | GNVC          | N/A               | 09:32:50          | \$1.14             | N/A                 | SHORT               | \$1.10               | \$1.05                | \$0.05               | 5%                  |
| 09/16/13    | RGDO          | N/A               | 09:32:57          | \$5.27             | N/A                 | LONG                | \$5.41               | \$7.96                | \$2.55               | 47%                 |
| 09/16/13    | CUR           | N/A               | 09:34:55          | \$2.98             | N/A                 | LONG                | \$2.99               | \$3.02                | \$0.03               | 1%                  |
| 09/16/13    | PTIX          | N/A               | 09:35:12          | \$3.69             | N/A                 | SHORT               | \$3.53               | \$3.36                | \$0.17               | 5%                  |
| 09/16/13    | ZHNE          | N/A               | 09:36:01          | \$3.82             | N/A                 | LONG                | \$3.85               | \$3.89                | \$0.04               | 1%                  |
| 09/16/13    | TNXP          | N/A               | 09:36:48          | \$4.14             | N/A                 | SHORT               | \$3.84               | \$3.71                | \$0.13               | 3%                  |
| 09/16/13    | MERC          | N/A               | 09:37:06          | \$7.46             | N/A                 | SHORT               | \$7.44               | \$7.09                | \$0.35               | 5%                  |
| 09/16/13    | RMTI          | N/A               | 09:39:16          | \$10.68            | N/A                 | LONG                | \$10.96              | \$11.80               | \$0.84               | 8%                  |
| 09/16/13    | FU            | N/A               | 09:39:26          | \$5.18             | N/A                 | SHORT               | \$4.94               | \$4.94                | \$0.00               | 0%                  |
| 09/16/13    | OMER          | N/A               | 09:41:39          | \$6.27             | N/A                 | LONG                | \$6.65               | \$8.64                | \$1.99               | 30%                 |
| 09/17/13    | SUTR          | N/A               | 09:32:55          | \$2.18             | N/A                 | SHORT               | \$2.04               | \$1.99                | \$0.05               | 3%                  |
| 09/17/13    | AGEN          | N/A               | 09:33:50          | \$3.42             | N/A                 | LONG                | \$3.55               | \$3.68                | \$0.13               | 4%                  |
| 09/17/13    | GORO          | N/A               | 09:33:51          | \$6.66             | N/A                 | SHORT               | \$6.35               | \$6.31                | \$0.04               | 1%                  |
| 09/17/13    | RGDO          | N/A               | 09:34:55          | \$8.81             | N/A                 | SHORT               | \$8.19               | \$7.50                | \$0.69               | 8%                  |
| 09/17/13    | OMER          | N/A               | 09:34:56          | \$7.93             | N/A                 | SHORT               | \$7.84               | \$7.53                | \$0.31               | 4%                  |
| 09/17/13    | GALT          | N/A               | 09:34:58          | \$9.73             | N/A                 | SHORT               | \$9.59               | \$9.03                | \$0.56               | 6%                  |
| 09/18/13    | TWGP          | N/A               | 09:34:58          | \$10.75            | N/A                 | SHORT               | \$10.66              | \$9.91                | \$0.75               | 7%                  |
| 09/18/13    | ATOS          | N/A               | 09:37:08          | \$6.74             | N/A                 | LONG                | \$6.92               | \$7.75                | \$0.83               | 12%                 |
| 09/19/13    | OXGN          | N/A               | 09:32:45          | \$2.76             | N/A                 | SHORT               | \$2.74               | \$2.51                | \$0.23               | 8%                  |
| 09/19/13    | PGRX          | N/A               | 09:32:46          | \$4.80             | N/A                 | LONG                | \$5.11               | \$5.60                | \$0.49               | 10%                 |
| 09/19/13    | EGLE          | N/A               | 09:33:50          | \$5.72             | N/A                 | LONG                | \$5.76               | \$7.13                | \$1.37               | 24%                 |
| 09/19/13    | ACUR          | N/A               | 09:33:58          | \$1.77             | N/A                 | SHORT               | \$1.66               | \$1.57                | \$0.09               | 5%                  |
| 09/19/13    | AXU           | N/A               | 09:34:31          | \$2.07             | N/A                 | SHORT               | \$2.00               | \$1.82                | \$0.18               | 9%                  |
| 09/19/13    | STXS          | N/A               | 09:34:56          | \$3.18             | N/A                 | LONG                | \$3.32               | \$3.34                | \$0.02               | 1%                  |
| 09/19/13    | KNDI          | N/A               | 09:36:00          | \$6.10             | N/A                 | LONG                | \$6.11               | \$6.99                | \$0.88               | 14%                 |
| 09/19/13    | FREE          | N/A               | 09:37:06          | \$0.42             | N/A                 | LONG                | \$0.45               | \$0.50                | \$0.05               | 11%                 |
| 09/19/13    | MKTG          | N/A               | 09:39:29          | \$17.38            | N/A                 | LONG                | \$17.44              | \$18.22               | \$0.78               | 5%                  |
| 09/20/13    | RNA           | N/A               | 09:32:45          | \$6.04             | N/A                 | LONG                | \$6.49               | \$8.00                | \$1.51               | 23%                 |
| 09/20/13    | LLEN          | N/A               | 09:32:47          | \$1.33             | N/A                 | SHORT               | \$1.29               | \$1.24                | \$0.05               | 4%                  |
| 09/20/13    | CYCC          | N/A               | 09:32:48          | \$5.25             | N/A                 | LONG                | \$5.37               | \$5.50                | \$0.13               | 2%                  |
| 09/20/13    | EGLE          | N/A               | 09:32:51          | \$6.78             | N/A                 | SHORT               | \$6.59               | \$6.32                | \$0.27               | 4%                  |
| 09/20/13    | SRPT          | N/A               | 09:34:55          | \$38.00            | N/A                 | SHORT               | \$37.62              | \$36.07               | \$1.55               | 4%                  |
| 09/20/13    | FU            | N/A               | 09:36:00          | \$8.32             | N/A                 | SHORT               | \$8.17               | \$7.38                | \$0.79               | 10%                 |
| 09/23/13    | ADEP          | N/A               | 09:33:54          | \$6.31             | N/A                 | LONG                | \$6.79               | \$6.89                | \$0.10               | 2%                  |
| 09/23/13    | FU            | N/A               | 09:33:56          | \$7.18             | N/A                 | LONG                | \$7.64               | \$8.39                | \$0.75               | 10%                 |
| 09/23/13    | NBY           | N/A               | 09:35:29          | \$1.90             | N/A                 | SHORT               | \$1.89               | \$1.86                | \$0.03               | 2%                  |
| 09/23/13    | RMTI          | N/A               | 09:36:00          | \$8.84             | N/A                 | SHORT               | \$8.74               | \$8.56                | \$0.18               | 2%                  |
| 09/23/13    | RNA           | N/A               | 09:36:02          | \$7.01             | N/A                 | LONG                | \$7.11               | \$7.28                | \$0.17               | 2%                  |
| 09/23/13    | BGMD          | N/A               | 09:36:07          | \$0.93             | N/A                 | SHORT               | \$0.87               | \$0.86                | \$0.01               | 1%                  |
| 09/23/13    | OXBT          | N/A               | 09:36:24          | \$1.45             | N/A                 | SHORT               | \$1.42               | \$1.35                | \$0.07               | 5%                  |
| 09/23/13    | STRI          | N/A               | 09:37:47          | \$2.04             | N/A                 | SHORT               | \$2.03               | \$1.92                | \$0.11               | 6%                  |
| 09/24/13    | OMER          | N/A               | 09:33:52          | \$9.41             | N/A                 | SHORT               | \$9.14               | \$8.83                | \$0.31               | 3%                  |

| <u>Date</u> | <u>Symbol</u> | <u>Watch Time</u> | <u>Alert Time</u> | <u>Alert Price</u> | <u>Trigger Time</u> | <u>Trigger Type</u> | <u>Trigger Price</u> | <u>High/Low After</u> | <u>Move After \$</u> | <u>Move After %</u> |
|-------------|---------------|-------------------|-------------------|--------------------|---------------------|---------------------|----------------------|-----------------------|----------------------|---------------------|
| 09/24/13    | GNVC          | N/A               | 09:34:05          | \$1.16             | N/A                 | LONG                | \$1.21               | \$1.69                | \$0.48               | 40%                 |
| 09/24/13    | FU            | N/A               | 09:35:05          | \$8.47             | N/A                 | SHORT               | \$8.35               | \$8.11                | \$0.24               | 3%                  |
| 09/24/13    | ACUR          | N/A               | 09:36:01          | \$1.77             | N/A                 | LONG                | \$1.83               | \$2.08                | \$0.25               | 14%                 |
| 09/24/13    | CRME          | N/A               | 09:37:16          | \$3.27             | N/A                 | SHORT               | \$3.26               | \$3.20                | \$0.06               | 2%                  |
| 09/24/13    | PRGN          | N/A               | 09:38:21          | \$5.84             | N/A                 | SHORT               | \$5.80               | \$5.75                | \$0.05               | 1%                  |
| 09/24/13    | DQ            | N/A               | 09:39:17          | \$20.27            | N/A                 | LONG                | \$21.01              | \$34.95               | \$13.94              | 66%                 |
| 09/24/13    | OPXA          | N/A               | 09:39:27          | \$1.86             | N/A                 | LONG                | \$1.94               | \$2.06                | \$0.12               | 6%                  |
| 09/25/13    | ONTY          | N/A               | 09:32:45          | \$2.38             | N/A                 | SHORT               | \$2.27               | \$2.11                | \$0.16               | 7%                  |
| 09/25/13    | LLEN          | N/A               | 09:32:47          | \$1.39             | N/A                 | SHORT               | \$1.33               | \$1.27                | \$0.06               | 5%                  |
| 09/25/13    | STXS          | N/A               | 09:33:50          | \$3.52             | N/A                 | SHORT               | \$3.45               | \$3.40                | \$0.05               | 1%                  |
| 09/25/13    | KNDI          | N/A               | 09:33:55          | \$8.47             | N/A                 | LONG                | \$8.75               | \$9.13                | \$0.38               | 4%                  |
| 09/25/13    | DQ            | N/A               | 09:34:55          | \$27.65            | N/A                 | LONG                | \$29.39              | \$29.46               | \$0.07               | 0%                  |
| 09/25/13    | APPY          | N/A               | 09:34:57          | \$2.13             | N/A                 | SHORT               | \$2.06               | \$2.05                | \$0.01               | 1%                  |
| 09/25/13    | HNSN          | N/A               | 09:35:10          | \$1.75             | N/A                 | LONG                | \$1.79               | \$1.89                | \$0.10               | 6%                  |
| 09/25/13    | RVP           | N/A               | 09:35:12          | \$3.50             | N/A                 | LONG                | \$3.61               | \$3.98                | \$0.37               | 10%                 |
| 09/25/13    | SKBI          | N/A               | 09:35:44          | \$4.85             | N/A                 | LONG                | \$4.99               | \$5.49                | \$0.50               | 10%                 |
| 09/25/13    | SPPR          | N/A               | 09:36:37          | \$5.79             | N/A                 | LONG                | \$5.89               | \$6.00                | \$0.11               | 2%                  |
| 09/26/13    | FCSC          | N/A               | 09:32:47          | \$4.35             | N/A                 | SHORT               | \$4.19               | \$4.10                | \$0.09               | 2%                  |
| 09/26/13    | PACB          | N/A               | 09:33:51          | \$5.80             | N/A                 | SHORT               | \$5.75               | \$5.32                | \$0.43               | 8%                  |
| 09/26/13    | LLEN          | N/A               | 09:33:53          | \$1.43             | N/A                 | LONG                | \$1.45               | \$1.45                | \$0.00               | 0%                  |
| 09/26/13    | TSPT          | N/A               | 09:34:16          | \$3.52             | N/A                 | SHORT               | \$3.47               | \$3.31                | \$0.16               | 5%                  |
| 09/26/13    | FREE          | N/A               | 09:34:56          | \$0.67             | N/A                 | LONG                | \$0.68               | \$0.93                | \$0.25               | 37%                 |
| 09/26/13    | BALT          | N/A               | 09:35:03          | \$5.46             | N/A                 | SHORT               | \$5.32               | \$5.10                | \$0.22               | 4%                  |
| 09/26/13    | KBIO          | N/A               | 09:36:00          | \$4.53             | N/A                 | LONG                | \$4.55               | \$4.74                | \$0.19               | 4%                  |
| 09/26/13    | CGIX          | N/A               | 09:36:19          | \$15.00            | N/A                 | LONG                | \$15.39              | \$18.49               | \$3.10               | 20%                 |
| 09/26/13    | STXS          | N/A               | 09:36:23          | \$3.33             | N/A                 | SHORT               | \$3.31               | \$3.28                | \$0.03               | 1%                  |
| 09/27/13    | ARQL          | N/A               | 09:32:45          | \$2.85             | N/A                 | SHORT               | \$2.79               | \$2.57                | \$0.22               | 8%                  |
| 09/27/13    | FU            | N/A               | 09:33:50          | \$7.88             | N/A                 | SHORT               | \$7.24               | \$6.90                | \$0.34               | 5%                  |
| 09/27/13    | LLEN          | N/A               | 09:33:53          | \$1.25             | N/A                 | SHORT               | \$1.24               | \$1.17                | \$0.07               | 6%                  |
| 09/27/13    | KNDI          | N/A               | 09:33:55          | \$8.36             | N/A                 | LONG                | \$8.59               | \$8.60                | \$0.01               | 0%                  |
| 09/27/13    | FREE          | N/A               | 09:34:57          | \$0.75             | N/A                 | LONG                | \$0.84               | \$0.87                | \$0.03               | 4%                  |
| 09/27/13    | GNK           | N/A               | 09:34:59          | \$3.86             | N/A                 | SHORT               | \$3.84               | \$3.80                | \$0.04               | 1%                  |
| 09/27/13    | CLNT          | N/A               | 09:37:29          | \$5.94             | N/A                 | LONG                | \$5.96               | \$6.15                | \$0.19               | 3%                  |
| 09/27/13    | TWGP          | N/A               | 09:39:18          | \$7.67             | N/A                 | SHORT               | \$7.62               | \$6.76                | \$0.86               | 11%                 |
| 09/30/13    | BGMD          | N/A               | 09:32:45          | \$1.13             | N/A                 | LONG                | \$1.16               | \$1.28                | \$0.12               | 10%                 |
| 09/30/13    | FREE          | N/A               | 09:32:47          | \$0.66             | N/A                 | LONG                | \$0.69               | \$0.74                | \$0.05               | 7%                  |
| 09/30/13    | CGIX          | N/A               | 09:34:01          | \$19.39            | N/A                 | SHORT               | \$18.64              | \$18.51               | \$0.13               | 1%                  |
| 09/30/13    | AMCF          | N/A               | 09:35:04          | \$1.99             | N/A                 | SHORT               | \$1.83               | \$1.80                | \$0.03               | 2%                  |
| 09/30/13    | ARWR          | N/A               | 09:36:07          | \$5.79             | N/A                 | LONG                | \$6.01               | \$6.07                | \$0.06               | 1%                  |
| 09/30/13    | RMTI          | N/A               | 09:38:11          | \$11.36            | N/A                 | LONG                | \$11.46              | \$12.25               | \$0.79               | 7%                  |
| 09/30/13    | DXM           | N/A               | 09:41:01          | \$7.98             | N/A                 | LONG                | \$8.23               | \$8.55                | \$0.32               | 4%                  |
| 09/30/13    | FU            | N/A               | 09:41:26          | \$7.62             | N/A                 | LONG                | \$7.97               | \$8.24                | \$0.27               | 3%                  |
| 09/30/13    | AXDX          | N/A               | 09:42:39          | \$12.29            | N/A                 | LONG                | \$12.74              | \$13.94               | \$1.20               | 9%                  |